

## LECTURE 1 and 2: Introduction to Gauge Theories

### Overview:

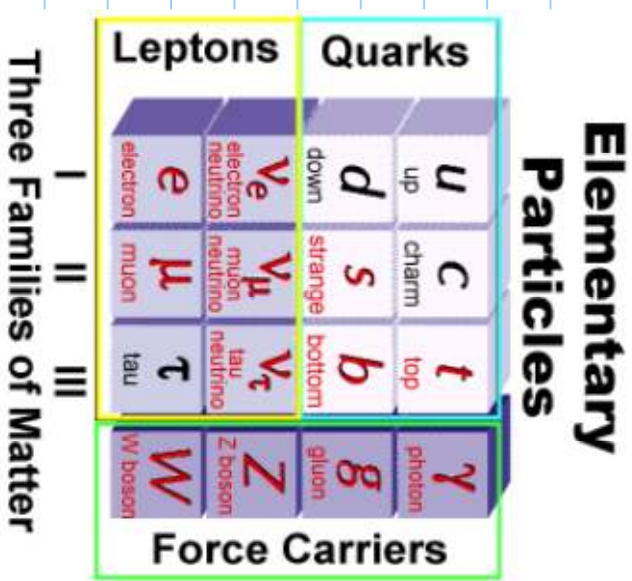
- Overview of Group Theory
- Abelian Gauge Theory
- Non-Abelian Gauge Theory

## The Standard Model

A quantum field theory based on  $SU(3) \times SU(2) \times U(1)$  gauge symmetries.

Lagrangian invariant under a continuous group of local transformations (gauge transformations). They form a Lie group whose generators have associated vector fields (gauge fields)

To obtain a better understanding of this theory, we'll spend some time studying what is a gauge theory and this requires some knowledge of group theory. I'll give a brief introduction to group theory and Lie groups in particular. These topics (Group Theory and construction of Gauge Theories) deserve a course unto themselves so I encourage you to explore the beautiful mathematics behind our physical theories in more detail during the course of your studies. I suggest H. Georgi's "Lie Algebras in Particle Physics" as a good reference text.



# Introduction to Group Theory

③

"Group Theory is the study of symmetry" Georgi  
The study of symmetry in physical systems is extremely useful.

Noether's Theorem relates symmetries to conserved quantities

Space Translation  $\rightarrow$  Momentum

Rotation  $\rightarrow$  Ang. Momentum

Time  $\rightarrow$  Energy

Symmetry  $\rightarrow$  conserved quantities  $\rightarrow$  invariance  
in field theory, the analogs are the identities

**WARD-TAKAHASHI**

Understanding how SM fields can transform requires we take some time to review some group theory

# Group Theory Intro (cont.)

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A group is a set of elements  $(a, b, c, \dots)$  with a law to combine two elements in an ordered way such that

- 1- For every  $a, b \in G$ , the product  $ab \in G$
- 2-  $(ab)c = a(bc) \rightarrow$  associativity
- 3-  $G$  has a unique element  $e$  such that for all  $a \in G$  :  $ae = ea = a \rightarrow$  identity
- 4- For all  $a \in G$ , there is a unique element  $a^{-1}$  such that  $a^{-1}a = aa^{-1} = e \rightarrow$  inverse

if  $ab = ba$  : Abelian group  $\rightarrow$  commutative  
if  $ab \neq ba$  : non-Abelian group  $\rightarrow$  non-commutative

examples: following set forms Abelian group  $(1, i, -1, -i)$  using multiplication for complex numbers as "combination law"

Note That we can associate matrices to elements above:

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \quad \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \quad \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} \quad \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$$

# Group Theory

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NOTE THAT IN THE CONTEXT OF FIELD THEORY, SYMMETRY TRANSFORMATIONS FORM A GROUP.

EXAMPLE: THEORY WITH ACTION  $S[\phi]$

UNDER A SET OF TRANSFORMATIONS  $\{g, h, k, \dots\}$  THE FIELDS CHANGE TO  $\phi_g, \phi_h, \phi_k, \dots$  WHICH LEAVES THE ACTION INVARIANT:  
 $S[\phi] = S[\phi_g] = S[\phi_h] = \dots$

ASSUME THAT THE SET  $\{g, h, k, \dots\}$  CONTAINS ALL SYMMETRY TRANSFORMATIONS OF THE ACTION.

→  $g \cdot h$  implies successive application on the fields which will leave action unchanged  $\Rightarrow$  product is in the set

ALSO IDENTITY IS IN THE SET AND SO IS THE INVERSE:  $S[\phi] = S[\phi_I] = S[\phi \cdot g \cdot g^{-1}] = S[\phi \cdot g^{-1}]$

→ THE FULL SET OF SYMMETRY TRANSFORMATIONS CONSTITUTES A GROUP

## Group THEO. (cont.)

⑥

Def.: A subgroup  $S$  of a group  $G$  contains some elements that form a group by themselves.  
 $e$  and  $G$  are Trivial subgroups

Def.: The order of a group is the number of elements in  $G$   
 $\rightarrow$  can be infinite

Def.: A representation of  $G$  is a mapping  $D$  of the elements of  $G$  onto a set of linear operators with the following properties:

- $D(e) = 1$ , where  $1$  is the identity operator in the space on which the linear operators act
- $D(g_1)D(g_2) = D(g_1g_2)$  i.e. group combination or multiplication law is mapped onto the multiplication in the space in which the operators act.

# Group Theory (cont.)

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Example:  $Z_3$

MULT. Table:

	e	a	b
e	e	a	b
a	a	b	e
b	b	e	a

→ abelian

Representation example:

$$D(e) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad D(a) = \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}, \quad D(b) = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}$$

$[D(g)]_{ij} = \langle e_i | D(g) | e_j \rangle \rightarrow$  with basis vectors  $|e_i\rangle$  associated with  $e, a, b$

Another representation (realization):

$$\begin{aligned} D(e) &= 1 \\ D(a) &= e^{2\pi i/3} \\ D(b) &= e^{4\pi i/3} \end{aligned}$$

## Group Theory (cont.)

⑧

Def: a rep. is said to be reducible if it has an invariant subspace  $\rightarrow$  The action of any  $D(g)$  on any vector in the subspace is still in the subspace.

In Terms of a projection operator  $P$ :

$$P D(g) P = D(g) P$$

Example: For  $Z_3$   $P = \frac{1}{3} \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix}$

$$\text{we get } D(g) P = P$$

Def: a completely reducible rep. has its matrix elements in the following form

$$\begin{pmatrix} D_1(g) & 0 & \dots \\ 0 & D_2(g) & \dots \\ \vdots & \vdots & \ddots \end{pmatrix}$$

i.e. block diagonal



## Group Theory (cont.)

Note: a rep. in block diagonal form is said to be in the direct sum of the subrep.

$$D_1 \oplus D_2 \oplus \dots$$

Def.: A set of generators is a set of group elements such that the repeated application of generators on themselves can generate the whole group

Example:

generators:  $p, q$   
 mult. rules:  $p^3 = e$ ,  $q^2 = e$ ,  $(pq)^2 = e$

$\Rightarrow$  Group elements:  $\{e, p, p^2, q, qp, qp^2\}$

note that  $qpq = q^{-1} = q$

because  $q^2 = e$

# Lie Groups

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We are interested in continuous groups with elements labelled by continuously variable real parameters

$$\alpha_1, \alpha_2, \alpha_3, \dots, \alpha_r, \quad g(\alpha_1, \alpha_2, \alpha_3, \dots, \alpha_r) \equiv g(\alpha)$$

For a continuous group, condition 1 takes the form:

$$g(\alpha)g(\beta) = g(\gamma(\alpha, \beta))$$

↳ continuous functions of  $\alpha, \beta$

→ if  $\gamma$  is an analytic function then we have a Lie Group

→ implies that it can be expressed as a power series. We can use a power series expansion to move from one element to another (within its neighborhood).

Lie proved that the properties of the elements which can be reached continuously from  $I$  are determined from elements in the neighbourhood of  $I$

Lie Groups (cont.)

see Atiyah and Hey

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Consider a group of Transformations defined by:

$$X_i = F_i(x_1, x_2, \dots, x_N; \alpha_1, \alpha_2, \dots, \alpha_r)$$

$X_i$ : "coordinates"  $\rightarrow$  or field components  
 $\alpha_j$ : parameters of the Transformations

$\alpha = 0$  is the identity Transformation  $\Rightarrow X_i = F_i(x, 0)$

$$dx_i = \sum_{\nu=1}^r \frac{\partial F_i}{\partial \alpha_\nu} d\alpha_\nu \quad (\text{partial deriv. evaluated at } (x, 0))$$

$$\begin{aligned} F \rightarrow F + dF &= F + \sum_{i=1}^N \frac{\partial F}{\partial x_i} dx_i = F + \sum_{i=1}^N \left[ \sum_{\nu=1}^r \frac{\partial F_i}{\partial \alpha_\nu} d\alpha_\nu \right] \frac{\partial F}{\partial x_i} \\ &\equiv \left[ 1 + \sum_{\nu=1}^r d\alpha_\nu i X_\nu \right] F \quad \text{with:} \end{aligned}$$

$$\hat{X}_\nu = i \sum_{i=1}^N \frac{\partial F_i}{\partial \alpha_\nu} \frac{\partial}{\partial x_i}$$

$\rightarrow$  generator of infinitesimal Transfo.

# Lie Groups (cont.)

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For finite Transformations:  $\left[ 1 - \sum_{v=1}^r dx_v i \hat{X}_v \right]$   
becomes  $\exp[-i \alpha \cdot \hat{X}]$   $\alpha \cdot \hat{X} \equiv \sum_v \alpha_v \hat{X}_v$

Theorem states that commutator of any two generators is a linear combination of the generators:

$$[\hat{X}_\alpha, \hat{X}_\beta] = c_{\alpha\beta}^{\gamma} \hat{X}_\gamma$$

→ structure constants of the group  
computation relations called  
algebra of the group.

We can see this using the Baker-Campbell-Hausdorff Formula. BUT first we'll take a step back

## Group Theory (cont.)

LET'S TAKE ONE-PARAMETER LIE GROUP WITH ELEMENTS  $g(\xi)$ .  
BECAUSE OF ANALYTIC CONDITIONS, WE CAN CHOOSE A  
PARAMETRIZATION THAT SATISFIES:

$$g(\xi^1) g(\xi^2) = g(\xi^1 + \xi^2)$$

$$\Rightarrow g(0) = I \quad \text{and} \quad g(\xi)^{-1} = g(-\xi)$$

IN THE NEIGHBOURHOOD OF THE IDENTITY WE CAN WRITE

$$g(\xi) = I + \xi T + O(\xi^2)$$

$T \rightarrow$  operator that generates infinitesimal transformations

$$\begin{aligned} \text{going finite: } g(\xi) &= \left\{ g(\xi/n) \right\}^n = \lim_{n \rightarrow \infty} \left\{ I + \frac{\xi T}{n} \dots \right\}^n \\ &= \exp(\xi T) \end{aligned}$$

For  $n$ -parameter  $g(\xi_1, \xi_2, \dots) = \exp(\xi_a T^a)$   
 $\hookrightarrow$  generators

## Group Theory (cont.)

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CONSIDER PRODUCT OF TWO ELEMENTS FOR LIE GROUP  $G$ :

$$g(\xi_1, \xi_2, \xi_3, \dots) \cdot g(\zeta_1, \zeta_2, \zeta_3, \dots) = \exp(\xi_a T^a) \exp(\zeta_b T^b)$$

Baker - Campbell - Hausdorff

$$= \exp \left\{ \xi_a T^a + \zeta_b T^b + \frac{1}{2} \xi_a \zeta_b [T^a, T^b] + \frac{1}{12} (\xi_a \zeta_b \zeta_c + \zeta_a \zeta_b \xi_c [T_a, [T_b, T_c]] + \dots) \right\}$$

SINCE  $G$  IS A GROUP WE MUST HAVE:

$$g(\xi_1, \dots, \xi_n) \cdot g(\zeta_1, \dots, \zeta_n) = \exp(\eta_a T^a)$$

→ POSSIBLE IF ANY COMMUTATOR CAN BE WRITTEN AS LINEAR COMBINATION OF GENERATORS

→ GENERATORS MUST CLOSE UNDER COMPUTATION

$$[T^a, T^b] = f_{ab}^c T^c$$

# Group Theory (cont.)

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EXAMPLES:

1 - ONE DIM. TRANSLATIONS:  $f(x) \rightarrow f(x + \xi)$

INF. TRANSFORMATION:  $f(x) + \xi \frac{d}{dx} f(x) + O(\xi^2)$

generator:  $f(x) = \frac{d}{dx} f(x)$

FINITE TRANSFO.:  $f(x) \rightarrow \exp\left(\xi \frac{d}{dx}\right) f(x) = \sum_{n=0}^{\infty} \frac{1}{n!} \xi^n \frac{d^n}{dx^n} f(x)$

↳ Taylor series

2 - two dim. ROTATION:  $g(\xi)$  changes  $\theta$  into  $\theta - \xi$

$x = r \cos \theta$ ,  $y = r \sin \theta$  :  $\begin{pmatrix} x \\ y \end{pmatrix} \rightarrow \begin{pmatrix} x \\ y \end{pmatrix} + \xi \begin{pmatrix} y \\ -x \end{pmatrix} + O(\xi^2)$

generator is then  $T = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$  note that  $T^2 = -I$

## Group Theory (cont.)

$$\begin{aligned}
 g(\xi) &= \exp(\xi t) = \sum_{n=0}^{\infty} \frac{1}{n!} \xi^n t^n \\
 &= \sum_{n=0}^{\infty} \left\{ \frac{1}{(2n)!} (-\xi^2)^n I + \frac{1}{(2n+1)!} (-1)^n \xi^{2n+1} t \right\} \\
 &= \cos \xi t + \sin \xi t = \begin{pmatrix} \cos \xi & \sin \xi \\ -\sin \xi & \cos \xi \end{pmatrix}
 \end{aligned}$$

→ Two-dim. rotation

→ The group above is  $SO(2)$

" $O(2)$ ": orthogonal  $2 \times 2$  matrices

" $S$ ": "special" →  $\det = 1$

" $U(N)$ ": unitary  $N \times N$  matrices →  $N^2$  generators

" $SU(N)$ ": "special" → have  $(N^2 - 1)$  generators with  $\det = 1$

Let's take a look at  $SU(2)$  ...



SU(2)

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Consider a system with two fermion fields  $q_1$  and  $q_2$  and demand that it possesses symmetry under transformations that mix them together:

$$\begin{aligned} q_1 &\rightarrow q_1' = \alpha q_1 + \beta q_2 \\ q_2 &\rightarrow q_2' = \gamma q_1 + \delta q_2 \end{aligned}$$

The  $\alpha, \beta, \dots$  are complex

Keep normalization:  $\langle q_1, 1q_1 \rangle = \langle q_1, 1q_1 \rangle = 1$  etc.

$$\Rightarrow | \alpha |^2 + | \beta |^2 = | \gamma |^2 + | \delta |^2 = 1$$

Keep orthogonality:  $\langle 2q_1, 1q_2 \rangle = 0$

$$\Rightarrow \alpha^* \delta + \beta^* \gamma = 0$$

in 2D component form:

$$q = \begin{pmatrix} q_1 \\ q_2 \end{pmatrix} \rightarrow q' = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \begin{pmatrix} q_1 \\ q_2 \end{pmatrix}$$

SU(2) (cont)

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$$\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \text{ is unitary} = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \begin{pmatrix} \alpha^* & \gamma^* \\ \beta^* & \delta^* \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

A  $2 \times 2$  Unitary matrix has 4 free parameters and we can write:

$$U = e^{i\alpha_0} + i\alpha_1 \tau_1 + i\alpha_2 \tau_2 + i\alpha_3 \tau_3$$

$\tau_i$  are the Pauli matrices:

$$\tau_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \tau_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \tau_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$U = e^{i\alpha_0} \cdot e^V \text{ with } V \text{ a member of } SU(2)$$

You can show that  $V$  has unit det.

SU(2) (cont.)

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For infinitesimal SU(2) Transfo.:

$$\begin{pmatrix} q_1 \\ q_2 \end{pmatrix} = (1 + i\alpha \cdot \frac{\sigma}{2}) \begin{pmatrix} q_1 \\ q_2 \end{pmatrix}$$

$$\frac{i}{2} \begin{pmatrix} \alpha_3 & \alpha_1 - i\alpha_2 \\ \alpha_1 + i\alpha_2 & -\alpha_3 \end{pmatrix}$$

$$dq_1 = \frac{i}{2} \alpha_3 q_1 + \left( \frac{i\alpha_1 + \alpha_2}{2} \right) q_2$$

$$dq_2 = -\frac{i\alpha_3}{2} q_2 + \left( \frac{i\alpha_1 - \alpha_2}{2} \right) q_1$$

$$\hat{X}_\nu = i \sum_{i=1}^3 \frac{\partial f_i}{\partial x_\nu} \frac{d}{dx_i}$$

$$\frac{df_1}{dx_1} = i\frac{q_2}{2}, \quad \frac{df_1}{dx_2} = \frac{q_2}{2}, \quad \frac{df_1}{dx_3} = i\frac{q_1}{2}$$

$$\frac{df_2}{dx_1} = i\frac{q_1}{2}, \quad \frac{df_2}{dx_2} = -\frac{q_1}{2}, \quad \frac{df_2}{dx_3} = -\frac{q_2}{2}$$

$$\hat{X}_1 = -\frac{1}{2} \left\{ q_2 \frac{d}{dq_1} + q_1 \frac{d}{dq_2} \right\}, \quad \hat{X}_2 = \frac{i}{2} \left\{ q_2 \frac{d}{dq_1} - q_1 \frac{d}{dq_2} \right\}$$

$$\hat{X}_3 = \frac{1}{2} \left\{ -q_1 \frac{d}{dq_1} + q_2 \frac{d}{dq_2} \right\}$$

$$[\hat{X}_i, \hat{X}_j] = i\epsilon_{ijk} \hat{X}_k$$

## Example 2: $SU(3)$

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$$\begin{pmatrix} \hat{r}_1 \\ \hat{r}_2 \\ \hat{r}_3 \end{pmatrix} = \left(1 + \frac{i}{2} \eta \cdot \lambda\right) \begin{pmatrix} \hat{r}_1 \\ \hat{r}_2 \\ \hat{r}_3 \end{pmatrix}$$

Now we have 8 parameters, and  $\lambda$  are the

Gell-Mann matrices e.g.  $\lambda_1 = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$ ,  $\lambda_5 = \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix}$

$$\lambda_8 = \begin{pmatrix} \frac{1}{\sqrt{3}} & 0 & 0 \\ 0 & \frac{1}{\sqrt{3}} & 0 \\ 0 & 0 & -2/\sqrt{3} \end{pmatrix}$$

One can determine the generators as in example 2.

$SU(3)$  algebra:  $[\hat{G}_a, \hat{G}_b] = i f_{abc} \hat{G}_c$

$$F_{123} = 1, \quad F_{147} = 1/2, \quad F_{458} = \frac{\sqrt{3}}{2} \quad \text{etc.}$$

More when we study QCD

## Lie Groups (cont.)

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We've seen particular matrix representations of  $SU(2)$  and  $SU(3)$ . One can use other representations: we just need to respect the group's element multiplication. For  $SU(2)$ ,

the triplet representation, which will act on a triplet of fields, must respect the commutation relations. For example, one can write the matrices using  $(T^i)_{jk} = -i\epsilon_{ijk}$ . You can check that the  $3 \times 3$  matrices satisfy the  $SU(2)$  commutation relations.

Gauge Invariance and E and M. ①

Why "gauge"?

Weyl was looking for geometric basis for both E and M and gravity by considering a space-time dependent change of scale.

Field invariance: gauge or standard of calibration

consider  $F(x)$  that changes between  $x_n$  and  $(x_n + dx_n)$

if space is uniform

$$F(x + dx) = F(x) + \sum^n F dx_n$$

What if the unit of measure or calibration changes in going from  $x_n$  to  $(x_n + dx_n)$ ?

$$F(x + dx) = (F(x) + \sum^n F dx_n) \cdot (1 + S^v dx_v)$$

(2)

$$F(x+dx) = (F(x) + \lambda^m F dx_m) \cdot (1 + S^V dx_V) \\ = F(x) + (\lambda^m F(x) + F(x) S^m) dx_m + O(dx)^2$$

$$\Delta F = (\lambda^m + S^m) F dx_m \quad (\text{First order})$$

Modified differential operator

For  $E$  and  $M$

$$P_m = (E, p_x, p_y, p_z)$$

$$\text{From QM } p^m \rightarrow i\lambda^m \quad (i\lambda^0, -iV)$$

$$(p^m - eA^m) \rightarrow i(\lambda^m + ieA^m)$$

$$\text{if } S^m \rightarrow ieA^m$$

$$(1 + ieA^m dx_m) \approx e^{ieA^m dx_m}$$

$\rightarrow$  invariance under change of phase (Keft gauge invariance)

Phase invariance in QM

(3)

$$\langle 0 \rangle = \int \psi^* O_{op} \psi$$

→ invariant under overall phase  $\psi(x) \rightarrow e^{i\theta} \psi(x)$

but, relative phases do matter

Can we formulate QM with position dependent (local) phase rotations?

$$\psi(x) \rightarrow \psi'(x) = e^{i\alpha(x)} \psi(x)$$

yes, but at a price

$$\partial_m \psi \rightarrow \partial_m \psi' = e^{i\alpha(x)} [\partial_m \psi(x) + i (\partial_m \alpha(x)) \psi(x)]$$

picked up extra term ...

replace  $\partial_m \rightarrow D_m \equiv \partial_m - ieA_m$

with  $A_m(x) \rightarrow A'_m(x) = A_m(x) + \frac{1}{e} \partial_m \alpha(x)$



(4)

then

$$D_n \psi(x) \rightarrow e^{i\alpha(x)} D_n \psi(x)$$

quantities like  $\psi^\dagger D_n \psi(x)$  are invariant under local phase transformations

what did we do? introduced a current

had Free Field theory  $\rightarrow$  Turned on EM interactions

$i\bar{\psi} \gamma^\mu \rightarrow i\bar{\psi} \gamma^\mu + e A^\mu$  at the centre of QED

note that a mass Term  $m A^\mu A_\mu$  would not preserve local gauge invariance

(5)

then

$$D_n \psi(x) \rightarrow e^{i\alpha(x)} D_n \psi(x)$$

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## Klein Gordon Equation

we can start with:  $E^2 - p^2 c^2 = m^2 c^4$

$$p^m p_m - m^2 c^2 = 0$$

$$p^m \rightarrow i \hbar \partial^m \quad : \quad -\hbar^2 \partial^m \partial_m \phi - m^2 c^2 \phi = 0$$

$\hbar = c = 1$  From now on ...

$$(\partial_m \partial^m + m^2) \phi = 0$$

Turn on QED:  $i \partial^m \rightarrow i \partial^m + e A^m$   
 $\partial^m \rightarrow \partial^m - i e A^m$

$$= (\partial_m \partial^m + m^2) \phi = -V \phi$$

$$V = -ie (\partial_m A^m + A^m \partial_m) - e^2 A^2$$

$\alpha \approx \frac{e^2}{4\pi} \approx 1/137 \rightarrow$  small  $\rightarrow$  pert. theory  
 lowest order, omit  $e^2$  term

# Non-Abelian gauge theories

For the Abelian case we studied,  $U(1)$ , we saw that imposing local gauge transformations:

$$Q(x) \rightarrow e^{i\alpha(x)} Q(x) \text{ required addition of gauge-}$$

covariant derivative to keep theory invariant

under those transformations:  $D_\mu \equiv \partial_\mu + i g A_\mu(x)$

$$A_\mu(x) \rightarrow A_\mu(x) - \partial_\mu \alpha$$

We now look at an example of a non-Abelian gauge theory:  $SU(2)$ -isospin gauge theory

$$\psi = \begin{pmatrix} \text{proton} \\ \text{neutron} \end{pmatrix}$$

Global symmetry  $\rightarrow$  freedom to choose what we call a proton and neutron everywhere

# Non-Abelian gauge theories

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Consider a local gauge transformation for the field  $\psi(x)$ :

$$\psi(x) \rightarrow \psi(x)' = G(x)\psi(x)$$

with  $G(x) \equiv \exp\left(\frac{i}{2}\gamma \cdot \alpha(x)\right)$

$\gamma$  are the Pauli matrices

$$\alpha \equiv \alpha_1, \alpha_2, \alpha_3$$

$$2\gamma \rightarrow G(2\gamma) + \underbrace{(\alpha_n G)\gamma}$$

↳ To take care of this term, let's introduce a gauge covariant derivative:

$$D_\mu \equiv \partial_\mu + igB_\mu$$

with  $B_\mu = \frac{1}{2}\gamma^a b_\mu^a = \frac{1}{2} \begin{pmatrix} b_3 & b_1 - ib_2 \\ b_1 + ib_2 & -b_3 \end{pmatrix}$

↳  $2 \times 2$  matrix

$$b_\mu = (b_1, b_2, b_3)$$

Non-Abelian gauge theories (cont.) (9)

How does  $B_n$  need to transform to cancel extra terms?

We want  $D_n \psi \rightarrow D'_n \psi' = \underbrace{G(D_n \psi)}$

$$D'_n \psi' = (\partial_n + i g B'_n) \psi'$$

$$= G(\partial_n \psi) + (\partial_n G) \psi + i g D'_n (G \psi)$$

$$\equiv G(\partial_n + i g B_n) \psi$$

$$= G(\partial_n \psi) + i g G(B_n \psi)$$

$$\Rightarrow i g B'_n (G \psi) = i g G(D_n \psi) - (\partial_n G) \psi$$

multiply from the right by  $G^{-1}$

$$\rightarrow B'_n = G B_n G^{-1} + \frac{i}{g} (\partial_n G) G^{-1}$$

$$= G [B_n + i g G^{-1} (\partial_n G)] G^{-1}$$

Non-Abelian gauge theories (cont.) (10)

$$B_\mu' = G [B_\mu + i G^{-1} (\partial_\mu G)] G^{-1} \quad (1)$$

Looks complicated... let's try it with  $G = e^{i\eta(x)}$

$$\begin{aligned} \text{We set: } A_\mu' &= e^{i\eta(x)} [A_\mu + \frac{i}{g} e^{-i\eta(x)} \cdot i g \partial_\mu e^{i\eta(x)}] e^{-i\eta(x)} \\ &= A_\mu - \partial_\mu \eta \quad (\text{ok it works...}) \end{aligned}$$

Consider infinitesimal gauge Transformation ( $|\eta| \ll 1$ )

$$G = 1 + \frac{i}{2} \gamma \cdot \alpha \quad \text{using (1) above we get:}$$

$$\begin{aligned} G B_\mu G^{-1} &= \left[ B_\mu + \frac{i}{2} \gamma \cdot \alpha B_\mu \right] \cdot \left[ 1 - \frac{i}{2} \gamma \cdot \alpha \right] \\ &= B_\mu + \frac{i}{2} \gamma \cdot \alpha B_\mu - \frac{i}{2} B_\mu \gamma \cdot \alpha + O(\alpha^2) \end{aligned}$$

$$\frac{i}{g} (\partial_\mu G) G^{-1} = \left[ \frac{i}{g} \frac{i}{2} \partial_\mu (\alpha \cdot \gamma) \right] \cdot \left( 1 - \frac{i}{2} \gamma \cdot \alpha \right) = -\frac{1}{2g} \partial_\mu (\alpha \cdot \gamma)$$

# Non-Abelian gauge theories (cont.)

(11)

we have

$$B'_\mu = B_\mu + \frac{i}{2} \alpha_\nu \tau B_\mu - \frac{i}{2} B_\mu \alpha_\nu \tau - \frac{1}{25} \partial_\nu (\alpha_\nu \tau) + \dots$$

now  $B_\mu = \frac{1}{2} \tau \cdot b_\mu$  so we get:

$$\tau \cdot b'_\mu = \tau \cdot b_\mu + \frac{i}{2} (\tau \cdot \alpha \tau \cdot b_\mu - \tau \cdot b_\mu \alpha \cdot \tau) - \frac{1}{5} \partial_\nu (\alpha_\nu \tau)$$

in component form:  $\frac{i}{2} \alpha^j b_\mu^k (\tau^j \tau^k - \tau^k \tau^j) = \frac{i}{2} \alpha^j b_\mu^k [\tau^j, \tau^k]$

For  $SU(2)$ :  $[\tau^j, \tau^k] = 2i \epsilon_{jkl} \tau^l$

second term becomes:  $- \epsilon_{jkl} \alpha^j b_\mu^k \tau^l = - \alpha \times b_\mu \cdot \tau$

isospin components are linearly indep. so:

$$\tau \cdot b'_\mu = \tau \cdot b_\mu - \alpha \times b_\mu \cdot \tau - \frac{1}{5} \partial_\nu (\alpha_\nu \tau) \text{ becomes:}$$

$$b'^i_\mu = b^i_\mu - \epsilon_{jkl} \alpha^j b_\mu^k - \frac{1}{5} \partial_\nu \alpha^l$$



Non-Abelian gauge theories (cont.)

(12)

Note: new Term  $[\epsilon_{ijk} a^i b^j c^k]$  is picked because gauge Transformations do not commute

Now we focus on field strength Tensor. We would like it To Transform as:

$$F_{\mu\nu}' = G F_{\mu\nu} G^{-1}$$

if we try

$$\partial_\nu B_\mu' - \partial_\mu B_\nu' \text{ for } F_{\mu\nu}'$$

we do not get

$$G (\partial_\nu B_\mu - \partial_\mu B_\nu) G^{-1}$$

Are we missing a commutator again?

$$F_{\mu\nu} \rightarrow F_{\mu\nu}^a \gamma^a$$

$$\mathcal{L}_{\text{gauge}} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} = -\frac{1}{2} \text{Tr} (F_{\mu\nu} F^{\mu\nu})$$

$$\text{and } \text{Tr}(\gamma^a \gamma^b) = 2\delta^{ab}$$

Non-Abelian gauge theories (cont.) (13)

if we try  $F_{\mu\nu} = \frac{1}{ig} [D_\nu, D_\mu]$  for QED:

$$\begin{aligned} F_{\mu\nu} &= \frac{1}{ig} [(2\nu + igA_\nu), (2\mu + igA_\mu)] \\ &= 2\nu A_\mu - 2\mu A_\nu + ig \underbrace{[A_\nu, A_\mu]}_{=0} \end{aligned}$$

$$F_{\mu\nu} = \frac{1}{ig} [D_\nu, D_\mu] = 2\nu B_\mu - 2\mu B_\nu + ig [B_\nu, B_\mu]$$

$$GF_{\mu\nu} G^{-1} = F_{\mu\nu}'$$

Yang-Mills Lagrangian:  $\mathcal{L} = ig \bar{\psi} \not{D} \psi - m \bar{\psi} \psi - \frac{1}{2} \text{tr} F_{\mu\nu} F^{\mu\nu}$

in compact form:  $F_{\mu\nu}^a = 2\nu B_\mu^a - 2\mu B_\nu^a + g \epsilon_{ijk} b_\mu^i b_\nu^j b_\mu^k$

$$b_\mu^i = b_\mu^a - \frac{1}{g} 2\nu a^a - \epsilon_{ijk} a^j b_\mu^k$$

For other groups, we'll replace  $\epsilon_{ijk}$  by the group's structure constants  $f_{ijk}$

# Non-Abelian gauge theories (cont.)

$$g_1 = 1 + iw_1^2 T_2 \quad g_2 = 1 + iw_2^2 T_2, \quad g_1 g_2 = 1 + i(w_1^2 + w_2^2) T_2 + \dots$$

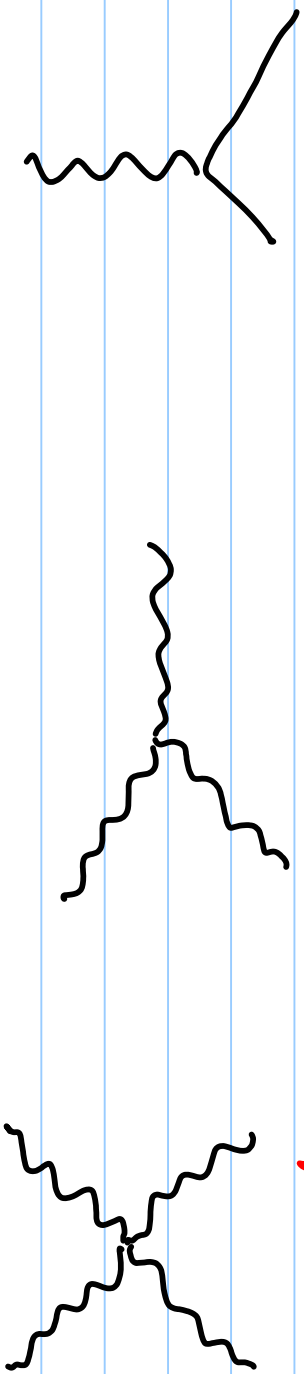
$$S_1 S_2 (S_2 S_1) = (1 + iw_1^2 T_2) (1 + iw_2^2 T_2) (1 - iw_2^2 T_2) (1 - iw_1^2 T_2) = 1 - w_1^2 w_2^2 [T_2, T_2], \quad [T_2, T_2] = i f_{22}^r T_r$$

see Appendix B of Burgess and Moore

Also note that the non-Abelian theory has additional couplings:

$$F_2 (2B - 2B) + g_{BB}$$

$$f_2 (2B - 2B) + \underbrace{g (2B - 2B) BB}_{\text{propagator}} + \underbrace{g^2 BB BB}_{\text{triple}} + \underbrace{g^2 BB BB}_{\text{quartic}}$$



U(1) and SU(2) recap

(15)

$$U(1): \quad \varphi \rightarrow \varphi' = \exp(i\alpha(x)) \varphi$$

$$SU(2) \quad \varphi \rightarrow \varphi' = \exp\left(\frac{i}{2} \vec{\tau} \cdot \vec{\alpha}(x)\right) \varphi$$

$\hookrightarrow \alpha_1, \alpha_2, \alpha_3$   
 $\hookrightarrow 3$  Pauli Matrices

Both cases:  $D_\mu \varphi \rightarrow G(D_\mu \varphi) + \underbrace{(D_\mu G)\varphi}$

To take care of extra Term introduce gauge covariant derivative:

$$U(1): \quad D_\mu = \partial_\mu + ieA_\mu$$

$$SU(2): \quad D_\mu = \partial_\mu + ig B_\mu \quad B_\mu = \frac{1}{2} \vec{\tau} \cdot \vec{b}_\mu \quad \hookrightarrow b_\mu^1, b_\mu^2, b_\mu^3$$

Both cases:  $C_\mu' = G C_\mu G^{-1} + \frac{i}{g} (D_\mu G) G^{-1}$

$$U(1): \quad A_\mu \rightarrow A_\mu' = A_\mu - \frac{1}{e} \partial_\mu \alpha$$

$$SU(2): \quad b_\mu^r \rightarrow b_\mu^r' = b_\mu^r - \alpha \times b_\mu - \frac{1}{g} \partial_\mu \alpha$$

$$\text{or } b_\mu^r' = b_\mu^r - \epsilon_{jkr} \alpha^j b_\mu^k - \frac{1}{g} \partial_\mu \alpha$$

As an aside: Curved space, GR etc.

Covariant derivative:

$$\frac{\partial \vec{V}}{\partial x^b} = \frac{\partial V^\alpha}{\partial x^b} \vec{e}_\alpha + V^\alpha \frac{\partial \vec{e}_\alpha}{\partial x^b}$$

we define  $\frac{\partial \vec{e}_\alpha}{\partial x^b} = \Gamma_{\alpha b}^\mu \vec{e}_\mu$

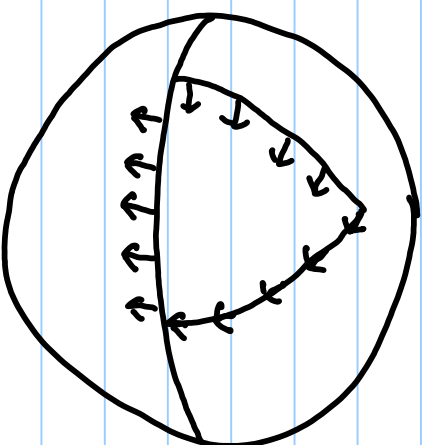
$\Gamma_{\alpha\beta}^\alpha$ : Christoffel symbol,  $\alpha^{\text{th}}$  component of  $\frac{\partial \vec{e}_\beta}{\partial x^\alpha}$  (vector)

So we have:

$$\frac{\partial \vec{V}}{\partial x^b} = \frac{\partial V^\alpha}{\partial x^b} + \Gamma_{\alpha b}^\alpha V^\alpha$$

Parallel Transport

Curvature defined as the change of a vector that is "parallel Transported" along a closed loop



$$[\nabla_\alpha, \nabla_\beta] V^\mu = R_{\alpha\beta}^\mu V^\mu$$

→ Riemann curvature Tensor