

LECTURE 22: The QM Postulates

What I expect you to learn:

- What are the QM postulates
- How to work with the Dirac notation

(Corresponds to sections 5.1-5.4 of textbook)

(Problem set 3 due Friday!)

THE POSTULATES OF QM

②

In classical physics, the state of a system can be determined at each instant by dynamical variables (position, momentum vectors, etc). In principle, they can be measured simultaneously to arbitrary precision.

In QM, the situation is completely different: in general when a dynamical variable is measured, the system is modified in an unpredictable way. What QM can predict is the probability of a measurement's outcome.

Also in QM, Heisenberg's uncertainty relations set a limit on the precision with which one can measure two given variables at the same time.

QM is based on a set of postulates that have not been derived*. They are justified by experimental results.

THE POSTULATES OF QM

③

Postulate 1: The state of a physical system is specified by a state vector (in a Hilbert space) at each time t : $|\psi(t)\rangle$

- The Hilbert space is linear and there is a scalar product in the vector space which can be expressed as:

$$\int_{-\infty}^{\infty} \psi^*(x) \psi(x) dx$$

The vector space is generally complex, infinite-dimensional, continuous (since x is continuous).

Linear \rightarrow Superposition principle

$|\psi_1\rangle$ is a vector, $|\psi_2\rangle$ is a vector in that space then

$|\psi_3\rangle = c_1 |\psi_1\rangle + c_2 |\psi_2\rangle$ is also a vector
 \hookrightarrow complex constant

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SCALAR PRODUCT (OR INNER PRODUCT) FOR VECTORS IN 3D:

$$\vec{r} \cdot \vec{s} = r_1 \cdot s_1 + r_2 \cdot s_2 + r_3 \cdot s_3 = \text{real number}$$

$$\vec{r} = (r_1, r_2, r_3), \quad \vec{s} = (s_1, s_2, s_3)$$

we could write $\vec{r} \cdot \vec{s}$ as (r, s)

For an orthogonal basis of vectors in 3D

$$\text{e.g. } \hat{x}, \hat{y}, \hat{z}, \quad \text{we have } (\hat{x}, \hat{x}) = 1$$

$$(\hat{x}, \hat{y}) = 0$$

$$(\hat{x}, \hat{z}) = 0$$

A 3D vector \vec{r} can be written as

$$\vec{r} = a\hat{x} + b\hat{y} + c\hat{z}, \quad \text{if } \hat{x}, \hat{y}, \hat{z} \text{ are orthogonal and form a complete basis}$$

THE POSTULATES OF QM

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FOR THE VECTOR SPACE OF QM, WE DEFINE AN INNER PRODUCT THAT WE WILL WRITE $\langle \phi | \psi \rangle$ (WE COULD HAVE USED $\phi \cdot \psi$ OR (ϕ, ψ))

$\langle \phi | \psi \rangle = c$, a complex number

WE ALSO HAVE THE FOLLOWING RELATIONS:

$$\langle \psi + \phi | \theta \rangle = \langle \psi | \theta \rangle + \langle \phi | \theta \rangle$$

$$\langle \psi | c \cdot \phi \rangle = c \langle \psi | \phi \rangle$$

$$\langle \psi | \phi \rangle = \langle \phi | \psi \rangle^*$$

$\langle \psi | \psi \rangle \geq 0 \rightarrow$ wave function normalization implies $\langle \psi | \psi \rangle = 1$

ψ_1 and ψ_2 are orthogonal if $\langle \psi_1 | \psi_2 \rangle = 0$

THE POSTULATES OF QM

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Postulate 2: to every physically measurable quantity A (that we will call observable) there corresponds a linear Hermitian operator A whose eigenvectors form a complete basis.

Postulate 3: The measurement of an observable A yields one of the eigenvalues of the linear operator A associated with A .

$$\begin{aligned} \text{Linear operator: } & A (c_1 | \psi_1 \rangle + c_2 | \psi_2 \rangle) \\ &= c_1 A | \psi_1 \rangle + c_2 A | \psi_2 \rangle \end{aligned}$$

$$\begin{aligned} \text{Hermitian: } & \langle \psi | (A \psi) \rangle = \langle (A \psi) | \psi \rangle \\ & \langle \psi | (A \psi) \rangle \equiv \langle \psi | A | \psi \rangle \end{aligned}$$

$$\text{i.e. } A^T = A$$

Hermitian operators have real eigenvalues

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In wave mechanics we had for Hermitian conjugates:

$$\int_{-\infty}^{\infty} dx (A^\dagger \psi(x))^* \chi(x) = \int_{-\infty}^{\infty} dx \psi(x)^* A^\dagger \chi(x)$$

i.e. $\langle A^\dagger \psi | \chi \rangle = \langle \psi | A^\dagger | \chi \rangle \Rightarrow \langle \psi | A^\dagger | \chi \rangle^* = \langle A^\dagger \psi | \chi \rangle^*$
remember that $\langle \psi | \chi \rangle^* = \langle \chi | \psi \rangle$, so $\psi = \langle \chi | A | \psi \rangle$

Exercise: Show that the eigenvectors of a Hermitian operator are orthogonal if their eigenvalues are different

$$\begin{aligned} \text{i.e. } \langle \hat{O} | a \rangle &= a | a \rangle \\ \langle \hat{O} | b \rangle &= b | b \rangle \end{aligned}$$

$$\Rightarrow \langle b | a \rangle = 0$$

THE POSTULATES OF QM

⑧

— A complete basis: $A|2\rangle = a_n|2\rangle$

We can write $|2\rangle = \sum_n a_n |2_n\rangle$

i.e. $|2\rangle = a_1|2_1\rangle + a_2|2_2\rangle + \dots$

$$\langle 2_1 | 2 \rangle = \langle 2_1 | a_1 | 2_1 \rangle + \langle 2_1 | a_2 | 2_2 \rangle + \dots = a_1$$

$$a_n = \langle 2_n | 2 \rangle$$

Example in 3D: $\vec{x} = (1, 0, 0)$, $\vec{r} = (3, 4, 5)$

$$\vec{x} \cdot \vec{r} = (\vec{x}, \vec{r}) = 3$$

if $|2\rangle$ is an eigenvector of \hat{A} with eigenvalue a_n , then;

$$\langle 2_n | \hat{A} | 2 \rangle = \langle 2_n | a_n | 2 \rangle = a_n \langle 2_n | 2 \rangle = a_n$$

* The eigenvector basis for an operator can be discrete or continuous (\vec{x} operator)

THE POSTULATES OF QM

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Postulate 4: if a series of measurements of the observable A is performed on an ensemble of systems described by $|\psi\rangle$, the average value will be given by:

$$\langle A \rangle = \frac{\langle \psi | \hat{A} | \psi \rangle}{\langle \psi | \psi \rangle}$$

NOTES: UNITARY OPERATORS

A linear operator \hat{U} is unitary if $\hat{U}^\dagger \hat{U} = I$

We can express \hat{U} as $\hat{U} = e^{i\hat{A}}$

PROJECTION OPERATORS

If \hat{A} is a Hermitian operator with the following property: $\hat{A}^2 = \hat{A}$, then \hat{A} is a projection operator.

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Projection ops (cont.)

$\underbrace{|2_n\rangle\langle 2_n|}$ is a projection operator

$$|2_n\rangle\langle 2_n|2\rangle = a_n|2_n\rangle$$

$$\sum_n |2_n\rangle\langle 2_n| = \hat{I}$$

↳ completeness relation

We can write $|2\rangle$ as:

$$|2\rangle = \sum_n |2_n\rangle\langle 2_n|2\rangle$$

THE POSTULATES OF QM

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Postulate 4 (part 2): WHEN MEASURING OBSERVABLE A OF A SYSTEM IN STATE VECTOR $|\psi\rangle$, THE PROBABILITY OF MEASURING AN EIGENVALUE IS GIVEN BY:

→ discrete, non-degenerate eigenvalues

$$P_n(a_n) = \frac{|\langle \psi_n | \psi \rangle|^2}{\langle \psi | \psi \rangle} = \frac{|a_n|^2}{\langle \psi | \psi \rangle}$$

→ discrete, n -degenerate

$$P_n(a_n) = \sum_{i=1}^n \frac{|\langle \psi_n^i | \psi \rangle|^2}{\langle \psi | \psi \rangle}$$

→ continuous:

$$\frac{dP(a)}{da} = \frac{|\langle \psi(a) | \psi \rangle|^2}{\langle \psi | \psi \rangle} = \frac{|\langle \psi(a) | \psi \rangle|^2}{\int_{-\infty}^{\infty} |\langle \psi(a') | \psi \rangle|^2 da'}$$

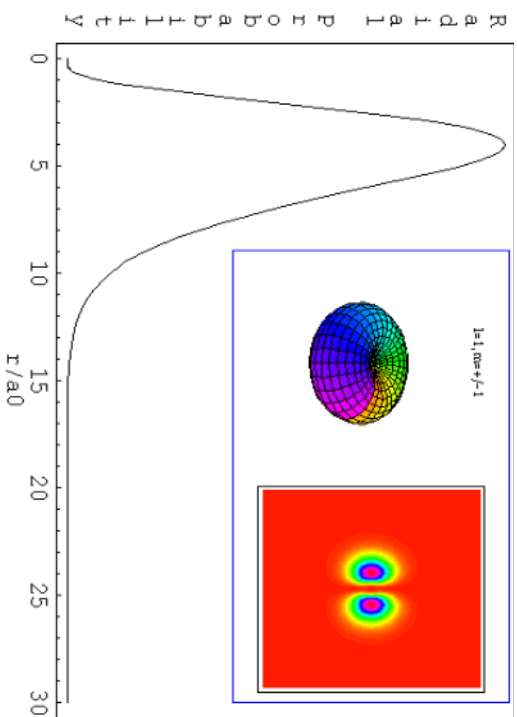
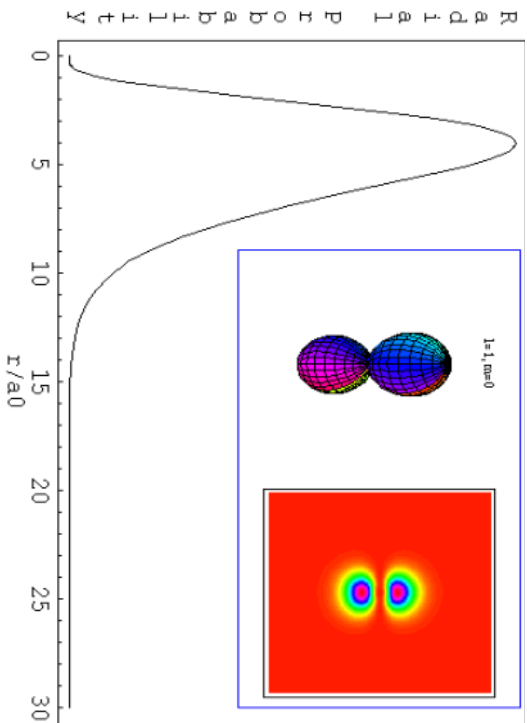
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Degeneracy: if a number of different eigenvectors have the same eigenvalue, then this eigenvalue is said to be degenerate

Example: 2nd energy level of the hydrogen atom:

$\psi_2(r, \theta, \phi)$:
some p orbitals:



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Continuous eigenvalues:

$$\hat{X}|x\rangle = x|x\rangle$$

We can expand $|\psi\rangle$ with these eigenvectors

$$|\psi\rangle = \int_{-\infty}^{\infty} dx C(x)|x\rangle \quad (1)$$

using orthonormality of $|x\rangle$ eigenstates:

$$\langle x'|x\rangle = \delta(x-x')$$

let's multiply (1) by $\langle x'|$:

$$\langle x'|\psi\rangle = \int_{-\infty}^{\infty} dx \langle x'|x\rangle C(x) = \int_{-\infty}^{\infty} \delta(x-x') C(x) dx$$

$$= C(x'), \quad |C(x')|^2 = |\psi(x')|^2$$

$$\langle x'|\psi\rangle = \psi(x')$$

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So we have $\psi(x) = \langle x | \psi \rangle$

$$\Rightarrow \langle p | \psi \rangle$$

→ completeness relation for position eigenkets:

$$\int_{-\infty}^{\infty} dx |x\rangle \langle x| = 1 \quad (2)$$

We can insert (2) in $\langle \psi | \psi \rangle$:

$$\langle \psi | \psi \rangle = \langle \psi | 1 | \psi \rangle = \int_{-\infty}^{\infty} \langle \psi | x \rangle \langle x | \psi \rangle dx$$

$$= \int_{-\infty}^{\infty} \psi(x)^* \psi(x) dx$$

or: $\langle A \psi | \psi \rangle = \int_{-\infty}^{\infty} dx (A \psi(x))^* \psi(x)$

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Recall:
$$\psi(x) = \frac{1}{\sqrt{2\pi\hbar}} \int_{-\infty}^{\infty} dp \psi(p) e^{ipx/\hbar}$$

$$\psi(x) = \langle x | \psi \rangle = \int_{-\infty}^{\infty} dp \langle x | p \rangle \langle p | \psi \rangle = \int_{-\infty}^{\infty} dp \langle x | p \rangle \psi(p)$$

$$\Rightarrow \langle x | p \rangle = \frac{1}{\sqrt{2\pi\hbar}} e^{ipx/\hbar}$$

Don't confuse δ with $|x\rangle$, or $\langle x|$!

$$\langle x \rangle = \langle \psi | \hat{x} | \psi \rangle, \quad \langle x | \psi \rangle = \psi(x)$$

$$\hat{x} | x \rangle = x | x \rangle$$

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We'll look at the link between commutators and the uncertainty relations:

In statistics the variance is expressed as

$$\text{var} = \frac{1}{N} \sum_{i=1}^N (x_i - \bar{x})^2$$

the standard deviation $\sigma = \sqrt{\text{var}}$

We'll use σ as our definition of width:

$$\Delta A = \sqrt{\langle (A - \langle A \rangle)^2 \rangle}, \quad \Delta B = \sqrt{\langle (B - \langle B \rangle)^2 \rangle}$$

$$(\Delta A)^2 = \langle (A - \langle A \rangle)^2 \rangle = \langle A^2 \rangle - \langle A \rangle^2 \quad *$$

We want to show that $\Delta A \Delta B \geq \frac{1}{2} |\langle [A, B] \rangle|$

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We'll set: $\bar{A} = A - \langle A \rangle$, \bar{A} lin-hermitian
 $\bar{B} = B - \langle B \rangle$, \bar{B} " " "

$$\Rightarrow (\Delta A)^2 = \langle \bar{A}^2 \rangle, \quad (\Delta B)^2 = \langle \bar{B}^2 \rangle$$

$$[\bar{A}, \bar{B}] = [A - \langle A \rangle, B - \langle B \rangle] = [A, B]$$

Let's introduce the linear operator $C = \bar{A} + i\lambda\bar{B}$
where λ is a real constant

$$\langle C C^\dagger \rangle \geq 0 = \langle 2\lambda | C C^\dagger | 2 \rangle = \langle C^\dagger 2 | C^\dagger 2 \rangle \geq 0$$

$$\langle (\bar{A} + i\lambda\bar{B})(\bar{A} - i\lambda\bar{B}) \rangle = \langle \bar{A}^2 + \lambda^2\bar{B}^2 - i\lambda[A, B] \rangle$$

$$= (\Delta A)^2 + \lambda^2 (\Delta B)^2 - i\lambda \langle [A, B] \rangle = F(\lambda)$$

Minimum of $F(\lambda)$ for $\frac{dF(\lambda)}{d\lambda} = 0$

$$\Rightarrow 2\lambda (\Delta B)^2 - i \langle [A, B] \rangle = 0, \quad \lambda_{\text{min}} = \frac{i}{2} \frac{\langle [A, B] \rangle}{(\Delta B)^2}$$

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$$\begin{aligned} F(\lambda_{\min}) &= (\Delta A)^2 + \frac{-1}{4} \frac{\langle [A, B] \rangle^2}{(\Delta B)^4} \cdot (\Delta B)^2 + \frac{1}{2} \frac{\langle [A, B] \rangle}{(\Delta B)^2} \langle [A, B] \rangle \\ &= (\Delta A)^2 + \frac{1}{4} \frac{\langle [A, B] \rangle^2}{(\Delta B)^2} \geq 0 \end{aligned}$$

$$\Rightarrow (\Delta A)^2 (\Delta B)^2 \geq -\frac{1}{4} \langle [A, B] \rangle^2$$

For $[A, B] = i\hbar$, we have

$$(\Delta A)^2 (\Delta B)^2 \geq -\frac{1}{4} \cdot -\hbar^2$$

$$\Rightarrow \Delta A \Delta B \geq \hbar/2$$

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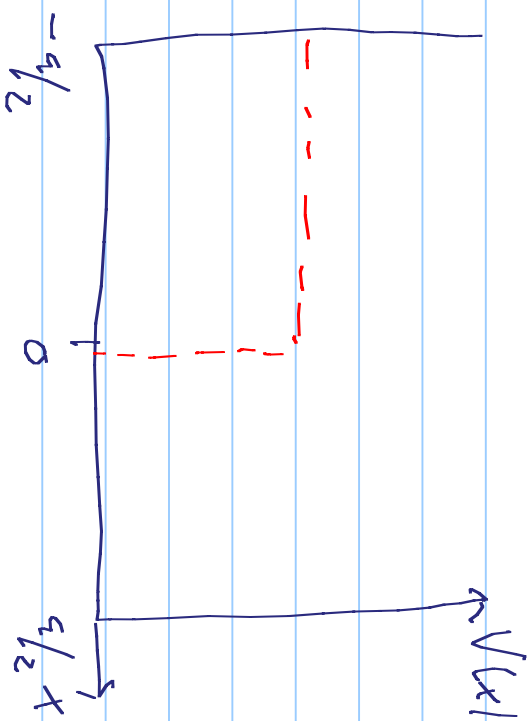
Example Problem: (Q4, midterm 2004)

Particle in infinite well:

Particle is localized in left hand side of the well:

$$\psi(x) = \sqrt{\frac{2}{a}}, \quad -\frac{a}{2} < x < 0$$

$$\psi(x) = 0, \quad x \geq 0$$



a) will the particle remain localized on left-hand side? why?

b) what is the prob. that an energy measurement will yield the ground state (well)?

Problem cont.

we have $\psi_w(x) = \sqrt{\frac{2}{a}} \sin\left(\frac{n\pi x}{a}\right)$ →

For a well with walls at 0, a, we'll move the wall here...

$$P_n = |a_n|^2, \quad a_n = \langle \psi_w | \psi \rangle$$

$$a_n = \int_{-\infty}^{\infty} \langle \psi_w | x \rangle \langle x | \psi \rangle dx = \int_{-\infty}^{\infty} \psi_w(x)^* \psi(x) dx$$

$$a_1 = \int_{-\infty}^{\infty} \psi_1(x)^* \psi(x) dx = \int_0^{a/2} \frac{2}{a} \sin \frac{\pi x}{a} dx$$

$$= -\frac{a}{\pi} \cdot \frac{2}{a} \cdot \cos \frac{\pi x}{a} \Big|_0^{a/2} = 0 - -\frac{2}{\pi}$$

$$|a_1|^2 = \frac{4}{\pi^2} = 0.405 \rightarrow 40.5\%$$

