

Hard Scattering in Hadron-Hadron Collisions: Physics and Anatomy

Section 1: Introduction, Colliders and Detectors

- 1. Basic anatomy of a collision**
- 2. Collider considerations**
- 3. Detector Implications**
- 4. Example: Top quark pair production**

Course Syllabus

This advanced graduate course will summarize how we understand the process by which hard scattering events, characterized typically by high transverse-momentum (P_T) processes, occur in energetic hadron-hadron collisions, and what effects have to be understood and taken into account in order to make robust measurements and discoveries of new phenomena. The course will focus on the 13-14 TeV proton-proton collisions produced by the Large Hadron Collider, but will use examples from experience gained at the 2 TeV proton-antiproton Tevatron Collider. The anatomy of a hard-scattering event will be dissected, and we'll discuss each element through the interplay between the theoretical and phenomenological framework and the experimental challenges.

This course is targeted at graduate students in particle physics experiment, theory or phenomenology who already have a background in relativistic quantum field theory and the Standard Model of particle physics.

References:

Collider Physics (Updated Edition), Barger & Phillips, Westview Press (1996).

Some Introductory Comments

- **Standard approach to hadron-hadron collisions is to**
 - **Focus on high P_T process**
 - **Largely ignore most of the other effects**
 - > Some of which are quite important
 - > Could lead to different analysis choices
 - > Largely not well documented (or understood)
- **Approach here will be to dissect a collision**
 - **Not focus as much on the theory of the hard-scattering process, ie., matrix element (ME)**
 - **More on what this process looks like “dressed up” with all the real-life effects**
 - **Challenges that must be confronted in making measurements**

Anatomy of a Collision

■ Pick apart the collision

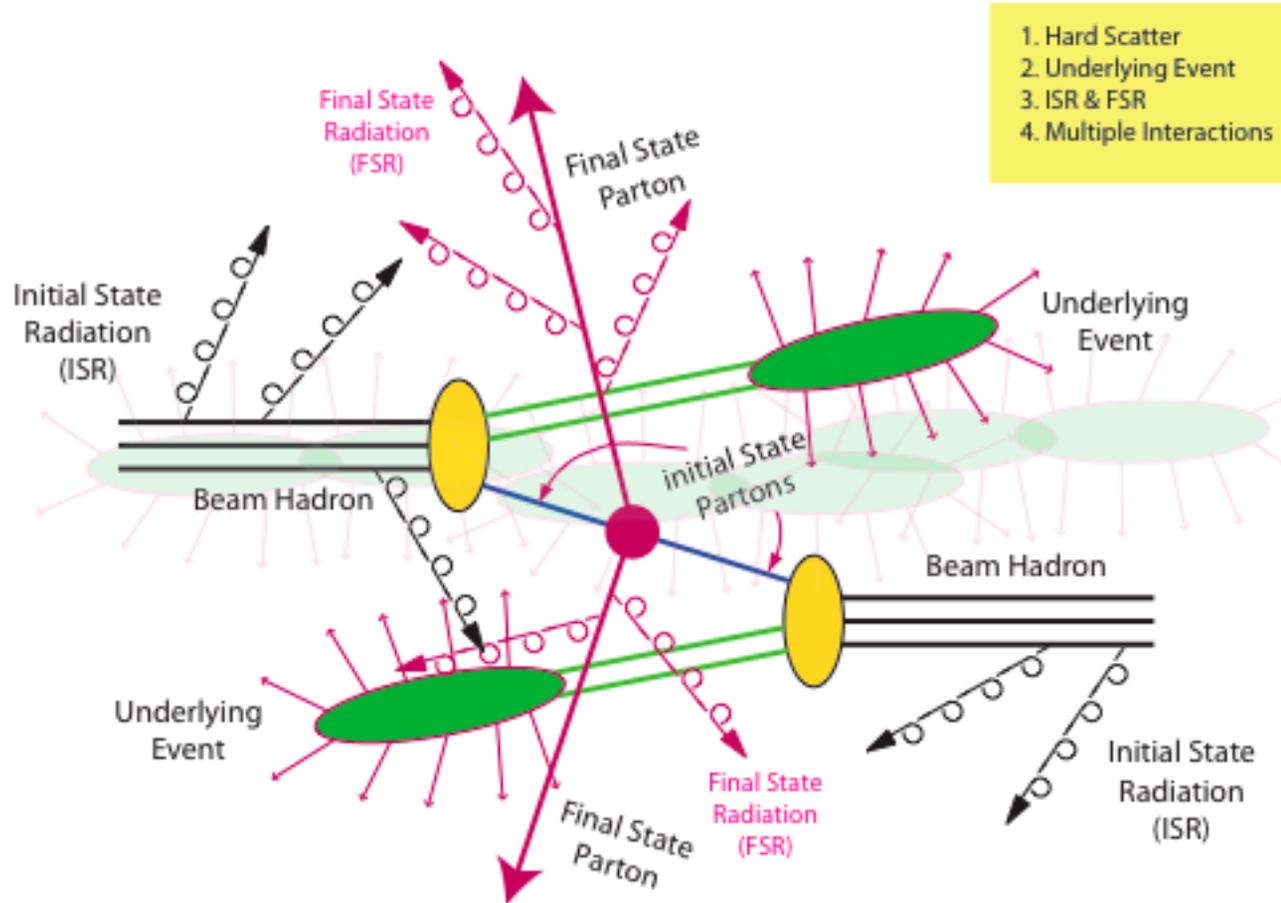
- **Incoming proton (or pbar) bunches**
 - > + beam halo and other garbage
- **Assume time of interaction \ll timescale of any other process**
 - > Treat hadron as a “bag” of free partons
- **Two partons interact**
 - > Hard scattering process
- **Rest of hadrons “fragment” into an underlying event (UE)**
 - > Caused by initial acceleration?
- **Maybe (usually?) have one or more independent collisions (pileup)**
 - > Increases low-energy particle multiplicities
 - > Has effects on instrumentation

- **Acceleration process produces**
 - Initial State Radiation (ISR)
 - Final State Radiation (FSR)

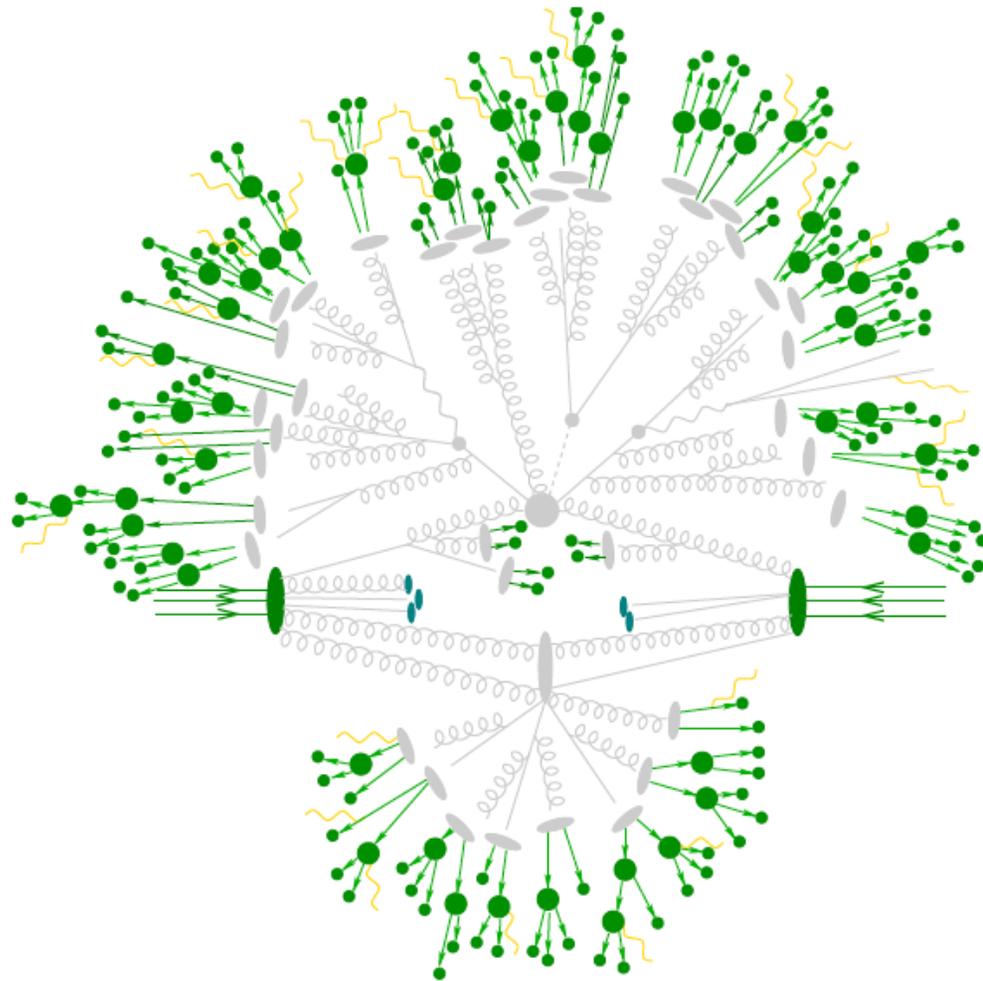
- **UE characterized by**
 - ~100 particles
 - Average $P_T \sim 0.5 \text{ GeV}/c$
 - Distributed uniformly in η

- **Multiple interactions depend on**
 - Instantaneous luminosity and crossing rate
 - > Increases low-energy particle multiplicities
 - Long read-out times result in “pileup” effects from one crossing to the next

Picturing a Hard Scatter



Even the hard-scatter + fragments is complicated



First Look at Hard Scattering

- We start with two partons interact
 - Each has momentum fraction x_1, x_2 of hadron

- > Given by parton distribution function (PDFs)
 - > Either valence (u,d) or gluons & sea quarks

- Cross section given by

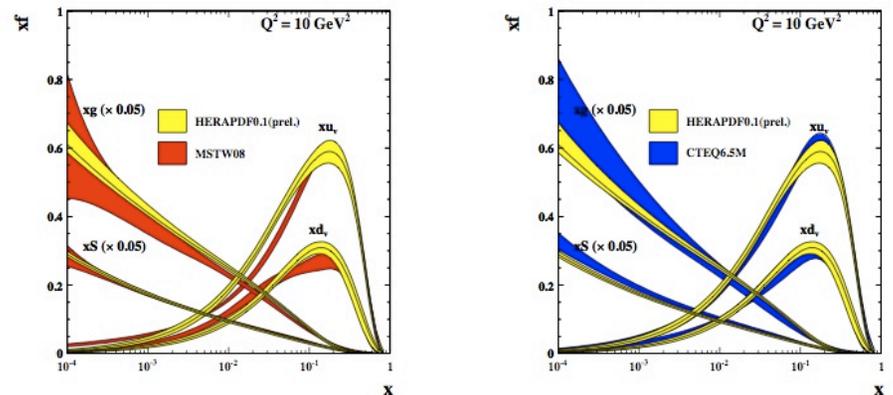
$$\sigma = \sum_{\substack{\text{partons } i \\ \text{colour } j}} C_{ij} \int_0^1 d\tau \int_{\tau}^1 \frac{dx_1}{\tau} [f_1(x_1) f_2(\tau/x_1)] \hat{\sigma}'(\tau S)$$

$\hat{\sigma}'$ is partonic cross section

$$\tau = x_1 x_2$$

- “Factorize” the problem:

- Subprocess cross section
 - > Summed over colours & spins
- Colour average factors (C_{ij})
 - > $C_{ij} = 1/9$ for quarks
 - > $C_{ij} = 1/64$ for gluons
- Parton distribution functions (PDF)



C. Diaconu, hep-ex/0901.0046v1

Figure 4: HERAPDF0.1 fit compared with MSTW and CTEQ fits.

Collider Considerations

Basic function is to

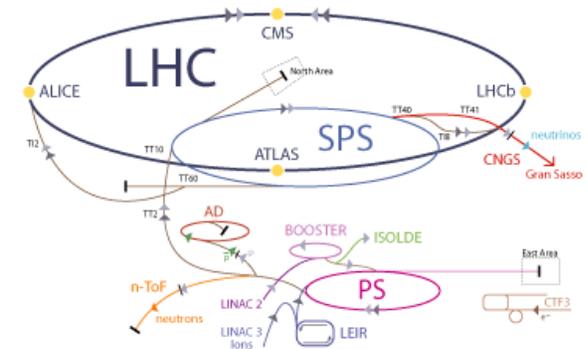
- Create well-confined bunches of particles
- Accelerate them to nominal energy
- Reduce any “beam related backgrounds”
- Maintain collisions till store is finished

$$L = \frac{rN_1N_2}{4\pi\sigma_x\sigma_y}$$

r = crossing rate

$N_{1,2}$ = # particles bunch_{1,2}

$\sigma_{x,y}$ = bunch profile_{x,y}

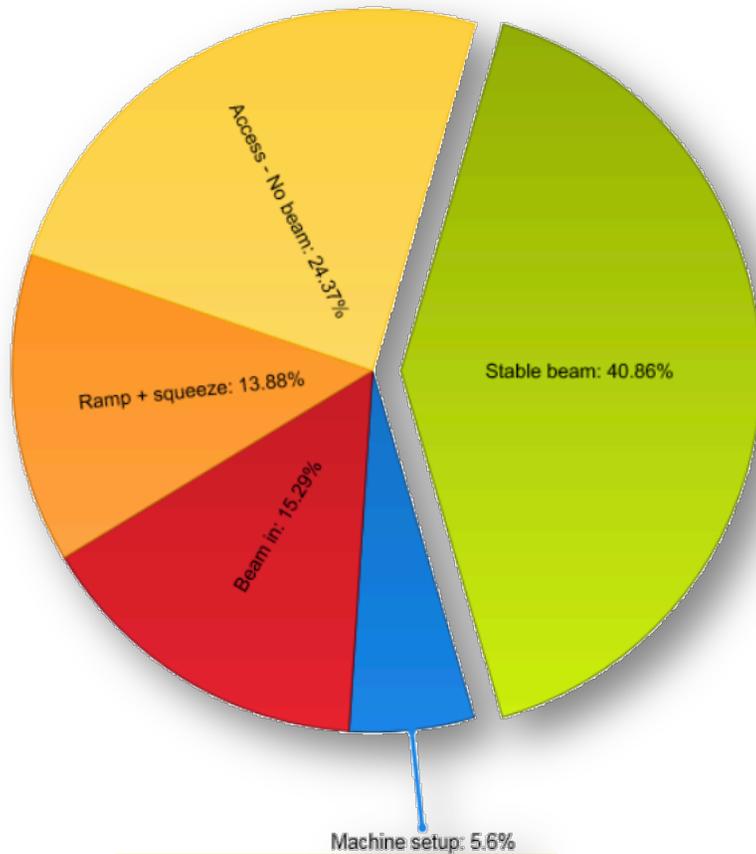


Figures of merit are:

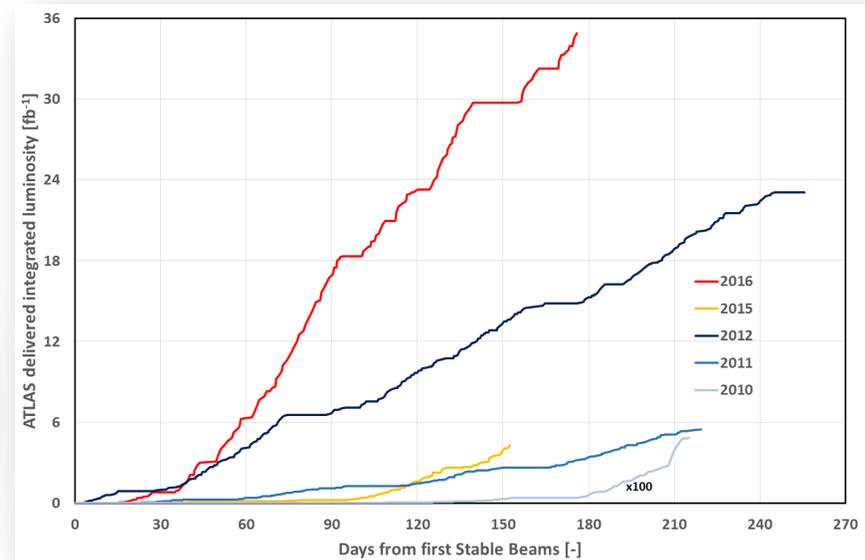
- Instantaneous luminosity
- Beam lifetime
- Low beam-related backgrounds

| | Tevatron | LHC (Design) | LHC (Now) |
|--|----------|--------------|-----------|
| Beam Energy (TeV) | 0.98 | 7.00 | 6.50 |
| Crossing Rate (MHz) | 2.52 | 40.08 | 40.08 |
| Bunches | 36 | 2,808 | 2,220 |
| Particles/Bunch - N1 (10 ¹¹) | 2.50 | 1.15 | 1.15 |
| Particles/Bunch - N2 (10 ¹¹) | 0.70 | 1.15 | 1.15 |
| Transverse size (microns) | 30 | 17 | 14 |
| L (10 ³³ cm ⁻² s ⁻¹) | 0.32 | 10.00 | 14.00 |
| Multiple Interactions/crossing | 6 | 20-25 | 45 |
| Beam Lifetime (hr) | 15-20 | 15.00 | 20.00 |

Accelerator Operations



M. Pojer, ATLAS Week (Oct 2016)



2016 parameters

| | |
|-----------------------|------------------|
| Energy | 6.5 TeV |
| Bunch spacing | 25 ns |
| Bunch population | ~1.1-1.15e11 p/b |
| Max bunches/injection | 72-96 |
| Max. number bunches | 2220 |
| Nc GPDs | 2208 |
| Beta* GPDs | 40 cm |
| Crossing angle GPDs | 185 → 140 mrad |

Luminosity Measurement & Total Cross Section

- **Luminosity measurement itself a challenge**

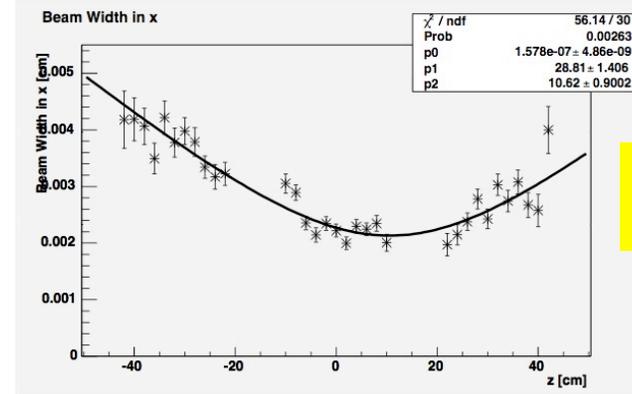
- **Two approaches**

- **Collider parameters**

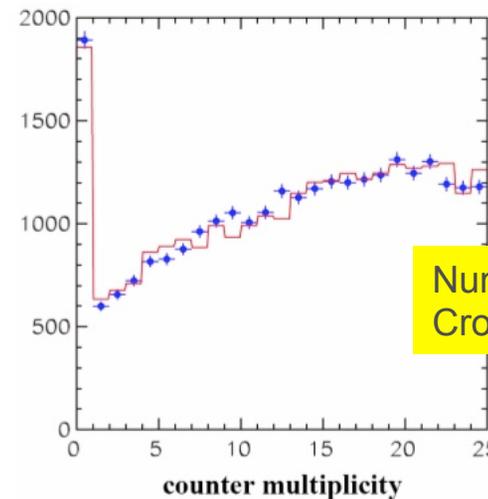
- > Difficult to measure beam properties with precision
 - > Uncertainties at Tevatron 15-20%

- **Collision rate at IP**

- > Detectors don't "see" total cross section
 - > Have to use "tricks" to extrapolate
 - > CDF/DØ have achieved precisions of no better than 6%
 - 4% from uncertainty in $\langle \sigma \rangle_{in}$
 - 3% from uncertainty in acceptance



Transverse Beam Size at CDF



Number of "empty" Crossings at DØ

$$\mu \times r = \sigma_{in} \times L$$

$$\mu = \langle \text{interactions / crossing} \rangle$$

$$\sigma_{in} = \text{inelastic cross section}$$

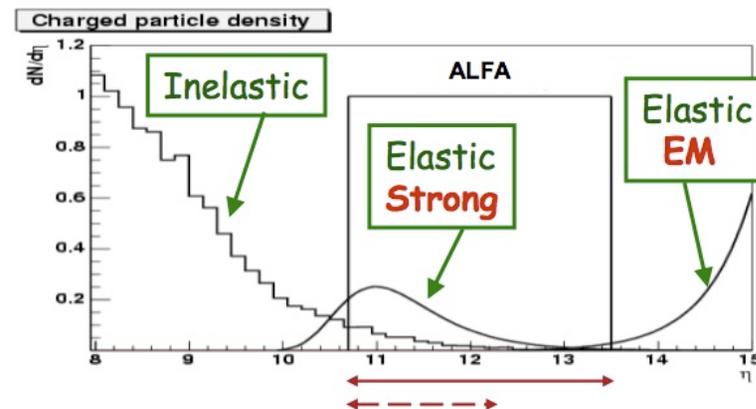
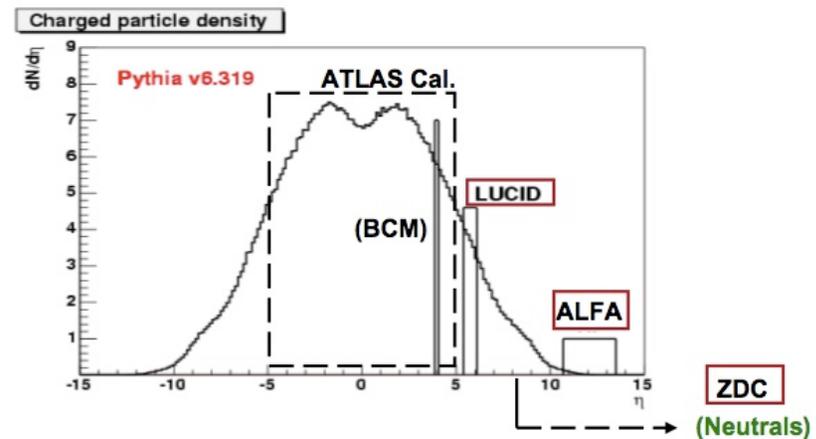
$$\approx e^{-\mu}, \text{ where}$$

$$\mu = \# \text{ collisions/crossing}$$

Fig. 3. Data vs Monte Carlo simulation comparison of the multiplicity of the luminosity counters at DØ using the final non-diffractive fraction. The points represent the data and the solid line the Monte Carlo. The plot corresponds to an instantaneous luminosity of $1.3 \times 10^{31} \text{ cm}^{-2}\text{s}^{-1}$.

ATLAS Luminosity

- **High instantaneous luminosity creates challenges**
 - Empty bunches will be rare
 - Need to actively count number of interactions
 - Using LUCID detector to monitor
 - > 17 m from interaction
 - Also measuring elastic scattering with ALPHA (Roman Pots)
 - > 240 m from IP
 - > Use optical theorem to relate to total cross section
 - > Calibrate LUCID
- **Expected uncertainties of 15-20% initially**
 - Achieved ~11% initially
 - Uncertainty on integrated luminosity is ~3.4%

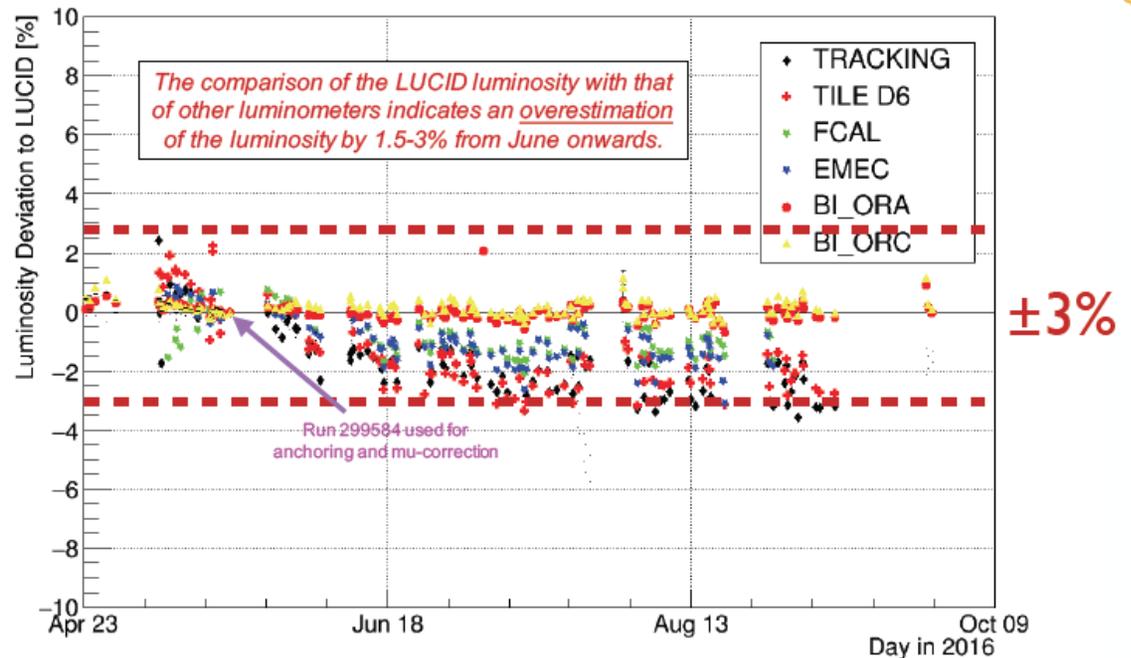


<http://indico.cern.ch/contributionDisplay.py?contribId=97&sessionId=7&confId=9499>

See [arXiv:1101.2185v1](https://arxiv.org/abs/1101.2185v1)

ATLAS Luminosity (Now)

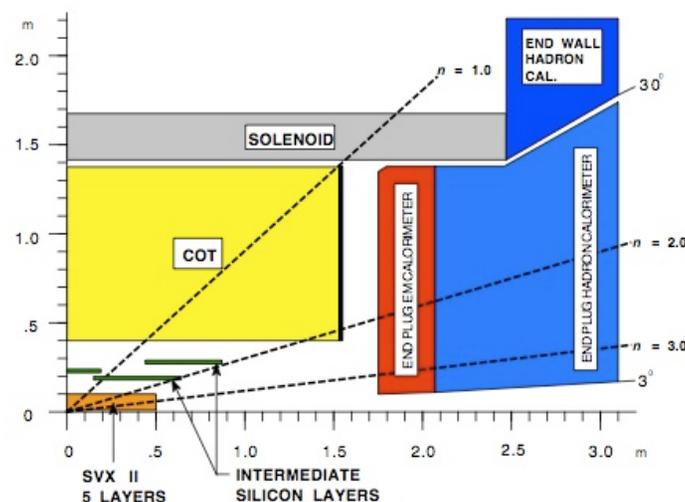
- **Realized that can use raw calorimeter signals to measure rate of events**
 - Allows for several other measurements for event counting
 - Can cross-calibrate LUCID and BCM
- **Result for 2016 data**
 - **3.7% uncertainty**
 - **Major limitation is understanding the run-dependence of the various measurements**



See [arXiv:1101.2185v1](https://arxiv.org/abs/1101.2185v1)

Detector Implications

- **Role of detector is to**
 - **Examine every collision (or as many as possible)**
 - **Decide on which ones are interesting enough to store for physics**
 - > Keep some data for monitoring and calibration
 - **Record characteristics of events with appropriate resolution**
- **Key elements are:**
 - **Sensors for charged and neutral particles (including readout)**
 - > Measurement of charged particle momentum (sets inner detector scale)
 - > Sufficient depth of calorimetry to contain EM and hadronic showers
 - > Muon particle ID and momentum analysis
 - **Creates a “standard” general-purpose detector configuration**

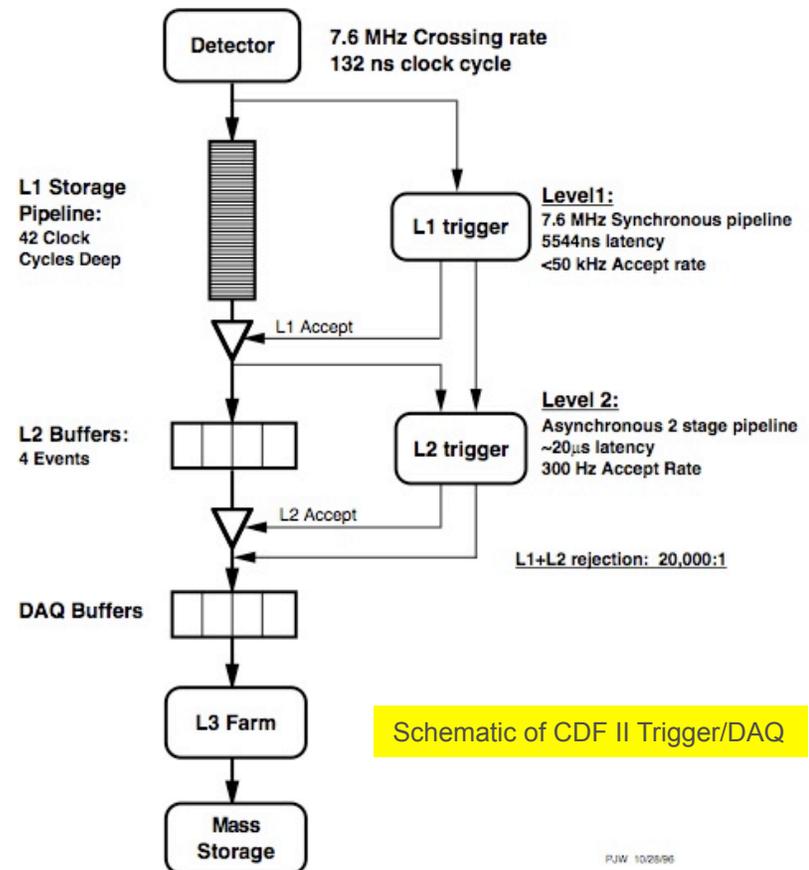


- **Trigger and DAQ:**
 - **Trigger system for making decisions**
 - **DAQ system to create digital record of each triggered event**
 - **Control system (for sensors & DAQ)**
 - **Monitoring system**

Trigger/DAQ System

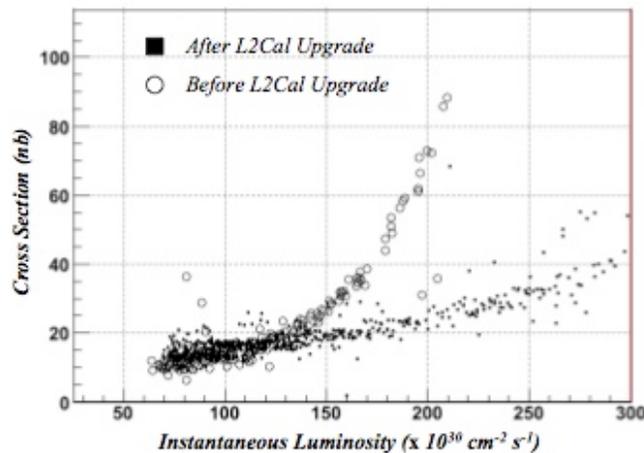
■ Triggering strategy has become standard

- Organize into “levels”
 - > Each level has more information, and greater flexibility
 - > Rejection between 10-1000 per level
- Allows increasing time/candidate collision
 - > Level 1: 6 μ s
 - Rejection of >150
 - > Level 2: 20 μ s
 - Rejection of >180
 - > Level 3: Semi-infinite
 - Rejection of > 5-10



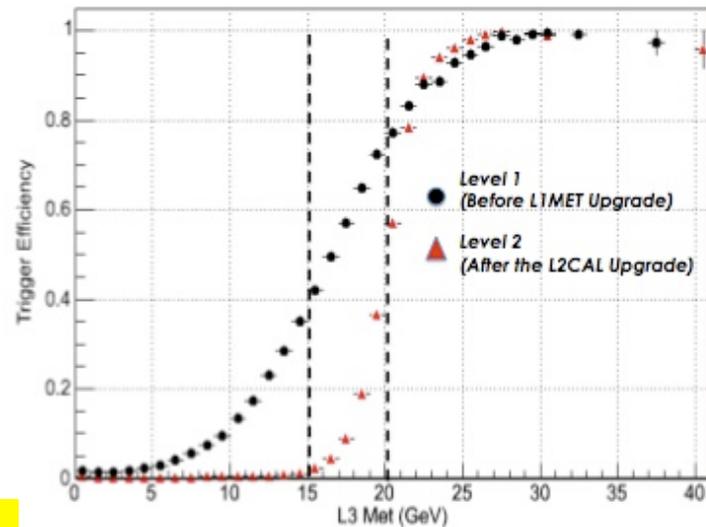
Think “Trigger!”

- In CDF, have > 420 internal notes with “trigger” in title
 - Active area of ongoing development
 - Increasing sophistication & improved performance
 - > Reduce luminosity growth
 - > Improve capability
 - Have to understand this part of the experiment very well!



A. Canepa et al., physics-in.dett/0810.3738

- Example:
 - CDF Jet/Met trigger limited by resolution
 - > Least count in trigger 0.5 GeV
 - > Meant that trigger s grew with L
 - Recent upgrade to use “full” resolution at 0.125 GeV



PHY2407S

Detector Acceptance & Efficiency

- **Detectors designed with specific physics processes in mind**
 - **Break these down into**
 - > Total transverse energy
 - > Charged leptons (e, μ, τ)
 - > Jets (quarks & gluons)
 - > Missing transverse energy (ν)
- **Huh? But aren't we supposed to be discovering stuff?**
 - **We hope is that:**
 - > through detection and triggering of “basic constituents”
 - > one will have a broad enough menu that new phenomena will be recorded
 - **Doesn't seem like a bad idea**
 - > But creates practical challenges
 - > Very large “trigger” menus
- **Helpful to separate detector effects out:**
 - **Acceptance:** Fraction of events of a given process “contained” within the detector
 - **Efficiency:** Fraction of contained events/objects ultimately passing some set of criteria (“cuts”)
 - **Resolution:** Accuracy of measurements of specific event-related quantities
- **Warning: Not a strict convention on how these terms used!!**
 - **Always make sure you define what you mean**

Example: Top Quark Production

■ Good tutorial:

- High P_T process
- Produces ≥ 6 objects in final state
 - > Exercises entire detector
- Large source at LHC

$$\sigma_{t\bar{t}} \cong 830 \text{ pb} \left(\sqrt{s} = 14 \text{ TeV} \right)$$

$$\Rightarrow r_{t\bar{t}} \cong \sigma_{t\bar{t}} \times L \times \epsilon_{acc \times eff}$$

$$= (8.3 \times 10^{-34}) (1.0 \times 10^{33}) (4 \times 10^{-2})$$

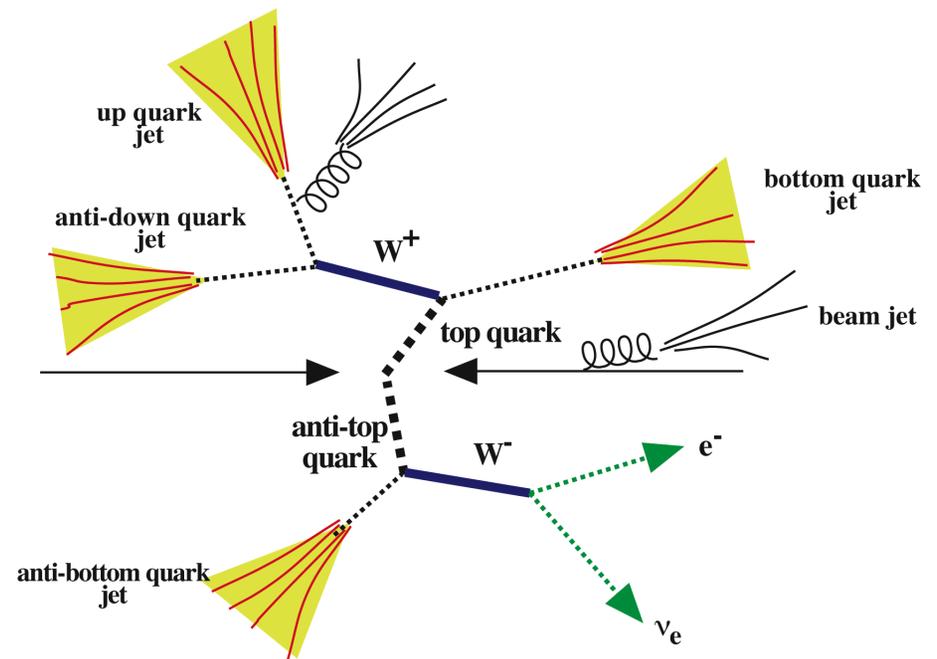
$$= 3.3 \times 10^{-2} \text{ s}^{-1} = 12 / \text{hour}$$

- Very good SM calibration source

- > Lepton ID efficiencies
- > Missing E_t
- > Jet Energy Scales
- > B tagging efficiencies

■ Biggest problem is difficulty of correctly constructing final state

- Tagging b 's reduces this problem
 - > Also reduces the rate of candidate events



Acceptance x Efficiency

■ Have to decide channel to focus on

- Semi-leptonic channel is favourite “whipping boy”
- **Require**
 - > One W to decay leptonically (e/ μ required in final state)
 - Charged lepton with $\langle P_T \rangle \sim 50$ GeV/c
 - Neutrino with energy $\langle P_T \rangle \sim 50$ GeV/c
 - This also accepts some $W \rightarrow \mu\nu$
 - > One W to decay hadronically
 - 2 jets with average $\langle P_T \rangle \sim 50$ GeV/c
 - > Two b jets
 - Maybe require jets, maybe tagged?
 - On average, a little harder...
- **Estimate BR = $(2/9) \times (2/3) \times 2 = 8/27 = 30\%$**
 - > But need to run full MC! Why?

■ Have to decide on trigger:

- **Inclusive e or μ**
 - > $P_T > 20-25$ GeV/c
 - > $|\eta| < 1.5$
- **Acceptance $\sim 85\%$**
- **Efficiency $\sim 90-95\%$**

L1/L2/L3
Inclusive
Lepton
trigger

■ Offline selection requirements

- **Lepton ID**
- **Missing $E_T > 25$**
- **3-4 jets**
 - > $E_T > 20$ GeV
 - > $|\eta| < 2.4$
- **B tagging?**
 - > Single b-tag efficiency around 50%

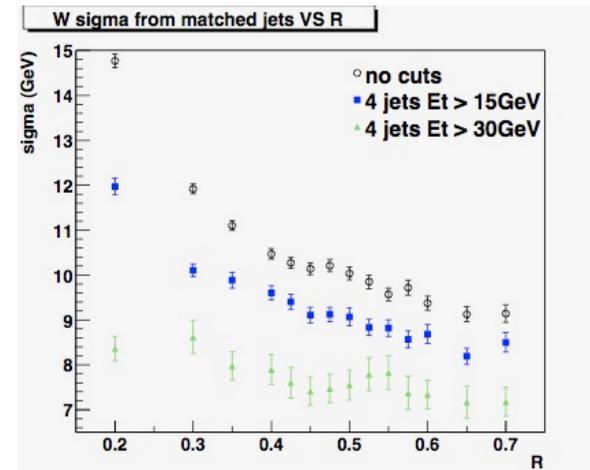
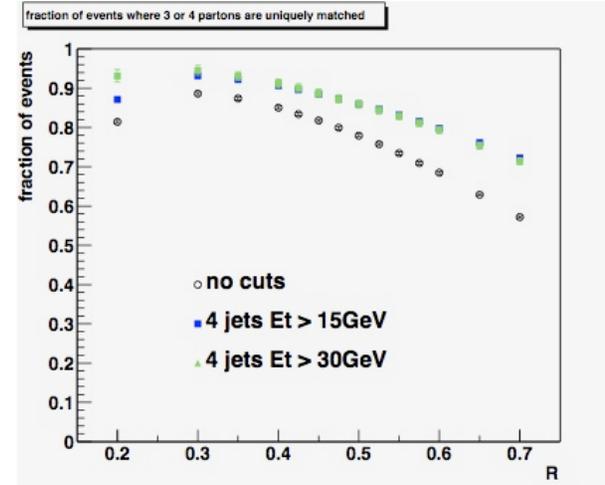
How Are These Chosen?

■ Study acceptance

- Learn that top quark production ~ “central”
- Primary backgrounds (W+bb+jets) more distributed in η
- Lepton ID and jet reconstruction limiting factors

■ Maximize efficiency

- Requires S/N studies
- Look at different algorithms for event reconstruction
- Need to be systematic
 - > But recognize that one has to make compromises



Results with B-Tagging

- **Most accurate top quark cross section**

- Lepton+jets
- SECVTX b-tagging

- **Strategy**

- Use MC to determine overall acceptance
- Measure trigger efficiency with $W \rightarrow l\nu$
- Measure lepton ID efficiency with $Z \rightarrow ll$
- Measure b-tagging efficiency in data
- Estimate systematic uncertainties

| Systematic | Inclusive (Tight) | Double (Loose) |
|------------------------|-------------------|----------------|
| Lepton ID | 1.8 | |
| ISR | 0.5 | 0.2 |
| FSR | 0.6 | 0.6 |
| PDFs | 0.9 | |
| Pythia vs. Herwig | 2.2 | 1.1 |
| Luminosity | 6.2 | |
| JES | 6.1 | 4.1 |
| <i>b</i> -Tagging | 5.8 | 12.1 |
| <i>c</i> -Tagging | 1.1 | 2.1 |
| <i>l</i> -Tagging | 0.3 | 0.7 |
| Non- <i>W</i> | 1.7 | 1.3 |
| <i>W</i> +HF Fractions | 3.3 | 2.0 |
| Mistag Matrix | 1.0 | 0.3 |
| Total | 11.5 | 14.8 |

TABLE XI. Summary table of the $t\bar{t}$ acceptance, for a top quark mass of 175 GeV/ c^2 .

| | CEM | CMUP | CMX | Total |
|-----------------------------------|--------------------------|--------------------------|-----------------------------|--------------------------|
| Sample (total) | 344 264 | 344 264 | 344 264 | 344 264 |
| # Events w/o <i>b</i> -tag | 15 893 | 9791 | 3617 | 29 301 |
| Acc. w/o <i>b</i> -tag (%) | $4.09 \pm 0.03 \pm 0.36$ | $2.13 \pm 0.02 \pm 0.19$ | $0.959 \pm 0.016 \pm 0.085$ | $7.18 \pm 0.04 \pm 0.61$ |
| # Tagged Events | 8490 | 5202 | 1965 | 15 657 |
| Tag Efficiency (%) | $53.4 \pm 0.4 \pm 3.2$ | $53.1 \pm 0.5 \pm 3.2$ | $54.3 \pm 0.8 \pm 3.3$ | $53.4 \pm 0.3 \pm 3.2$ |
| Acc. with <i>b</i> -tag (%) | $2.19 \pm 0.02 \pm 0.23$ | $1.14 \pm 0.01 \pm 0.12$ | $0.512 \pm 0.009 \pm 0.054$ | $3.84 \pm 0.03 \pm 0.40$ |
| Integ. Lumi. (pb^{-1}) | 162 ± 10 | 162 ± 10 | 150 ± 9 | |

A 2015 ATLAS Top Candidate

